

Please print off and complete the form, sending the scan or photo to marineiadmin@exeter.ac.uk and we will get back to you within two working days

Section 1 Marine Eligibility			
Business Name:			
Legal Trading Name:			
Street:			
Town/City:		County:	
Postcode		Phone:	
Website:		Email:	
Outline the nature and activities of your business:	Business SIC Code:		
Marine technology theme	Marine Energy	<input type="checkbox"/>	Marine Manufacturing <input type="checkbox"/>
	Marine Operations	<input type="checkbox"/>	Marine Environmental Technologies <input type="checkbox"/>

Section 2 SME Eligibility			
<p><i>To qualify for Marine-i project support, your business must be an SME - meaning a micro, small or medium-sized enterprise which employ fewer than 250 people and which have an annual turnover not exceeding €50 million and/or an annual balance sheet total not exceeding €43 million.</i></p>			
Company Registration / UTR / Charity No:		VAT No:	
Business Start Date: (DD:MM:YYYY)		Financial year end	
Business Trading Age:	Years	Months (including periods of dormancy etc.)	
Average annual Research & Development (R&D) spend in the last complete financial year			£
Number of product and process innovations in the last three financial years			
Employees (full time):	Employees (part time):	Full Time Equivalent (FTE) Employees:	
Annual Turnover (from last audited accounts):	£	Can you confirm you have a balance sheet total of less than €43M	Yes <input type="checkbox"/> No <input type="checkbox"/>
Legal Status:	Is this company an SME?		Yes <input type="checkbox"/> No <input type="checkbox"/>
Sole Trader <input type="checkbox"/>	Private Limited Company (LTD) <input type="checkbox"/>		<input type="checkbox"/>
Partnership <input type="checkbox"/>	Limited Liability Partnership (LLP) <input type="checkbox"/>		<input type="checkbox"/>
Public Limited Company (PLC) <input type="checkbox"/>	Public Sector Organisation / Local Government <input type="checkbox"/>		<input type="checkbox"/>
Community Interest Company (CIC) <input type="checkbox"/>	Industrial Provident Society <input type="checkbox"/>		<input type="checkbox"/>
Limited by Guarantee Company <input type="checkbox"/>	Voluntary / Community Sector / Not for Profit <input type="checkbox"/>		<input type="checkbox"/>
Royal Charter <input type="checkbox"/>	Charity <input type="checkbox"/>		<input type="checkbox"/>
Non-Governmental Company (NGO) <input type="checkbox"/>	Receive ≥50% of funds from a public source <input type="checkbox"/>		<input type="checkbox"/>
Is your business independent? (i.e. it has no participation in other enterprises; and no enterprise has a participation in it)			Yes <input type="checkbox"/> No <input type="checkbox"/>
<p>Non-Independent Enterprises can still be eligible providing you can respond positively to one of the following three statements. If you cannot demonstrate A, B or C, the company is ineligible to receive ERDF support:</p>			
<input type="checkbox"/>	A: That the owning organisation (and its subsidiaries combined) meets all of the SME criteria i.e. employee numbers, turnover and/or balance sheet		
<input type="checkbox"/>	B: It has a holding of less than 25% of the capital or voting rights (whichever is higher) in one or more other enterprises;		
<input type="checkbox"/>	C: Any external parties have a stake of no more than 25 % of the capital or voting rights (whichever is higher) in the enterprise and/or it is not linked to another enterprise through another individual		

Section 3 | Undertaking in Difficulty

Using the below definition, is the enterprise an undertaking in difficulty?

Yes

No

Undertakings in difficulty as defined under the State Aid rules should not be supported, in accordance with Article 3.3(d) of the ERDF Regulation (EU) No 1301/2013.

The definition under State Aid rules that should be used when assessing whether an undertaking constitutes an undertaking in difficulty is set out in the General Block Exemption Regulation (GBER), No 651/2014 .Article 2 para 18:

“‘undertaking in difficulty’ means an undertaking in respect of which at least one of the following circumstances occurs:

- (a) In the case of a limited liability company (other than an SME that has been in existence for less than three years or, for the purposes of eligibility for risk finance aid, an SME within 7 years from its first commercial sale that qualifies for risk finance investments following due diligence by the selected financial intermediary), where more than half of its subscribed share capital has disappeared as a result of accumulated losses. This is the case when deduction of accumulated losses from reserves (and all other elements generally considered as part of the own funds of the company) leads to a negative cumulative amount that exceeds half of the subscribed share capital. For the purposes of this provision, ‘limited liability company’ refers in particular to the types of company mentioned in Annex I of Directive 2013/34/EU (1) and ‘share capital’ includes, where relevant, any share premium.
- (b) In the case of a company where at least some members have unlimited liability for the debt of the company (other than an SME that has been in existence for less than three years or, for the purposes of eligibility for risk finance aid, an SME within 7 years from its first commercial sale that qualifies for risk finance investments following due diligence by the selected financial intermediary), where more than half of its capital as shown in the company accounts has disappeared as a result of accumulated losses. For the purposes of this provision, ‘a company where at least some members have unlimited liability for the debt of the company’ refers in particular to the types of company mentioned in Annex II of Directive 2013/34/EU.
- (c) Where the undertaking is subject to collective insolvency proceedings or fulfils the criteria under its domestic law for being placed in collective insolvency proceedings at the request of its creditors.
- (d) Where the undertaking has received rescue aid and has not yet reimbursed the loan or terminated the guarantee, or has received restructuring aid and is still subject to a restructuring plan.

Annex I and Annex II of Directive 2013/34/EU can be found at <http://www.legislation.gov.uk/eudr/2013/34/contents>

Section 4 | Eligibility for State Aid (De Minimis)

You are being offered assistance under the Commission Regulation (European Union) No 1407/2013, OJ L352/1. This allows a company to receive up to €200,000 of De Minimis aid over a rolling three-year period. To confirm that you are able to receive this assistance you must therefore declare the full amount of De Minimis aid you have already received over the last 3 fiscal years.

The following is not a comprehensive list of the possible forms of aid. However it should give an indication of the most common forms of aid, which you may have been given over the past three years. Potentially any assistance from a public body might be an aid. Should you have any doubts on this matter, please contact the body from which the assistance was received:

- Grants from public bodies
- Loans from public bodies at favourable rates
- Loan guarantees from public bodies
- Differential tax benefits
- Grants from an investment trust (including charities) which may themselves have received the funds from a public body
- Grants from a part publicly funded venture capital fund
- Publicly administered funds, even if the funds were originally not public such as the national lottery
- Waiving or deferral of fees or interest normally due to a public body such as the waiving or deferral of rent or waiver of interest normally due on late payment of taxation or other costs to a public body
- Advertising via a public channel such as a tourist board or state owned television
- Consultancy advice provided either free or at a reduced rate
- Training provided either free or at a reduced rate (Modern Apprenticeships can be ignored for State Aid purposes)

- Aid for investment in environmental projects
- Provision of a free or reduced rate feasibility study, or other assistance with research and development
- Purchase of public land or property at a less than market rate
- Benefiting from the provision of infrastructure where your organisation was pre-identified as a beneficiary

These types of aid may have been provided under De Minimis (as De Minimis aid) or under another State Aid regulation. If you are in any doubt whether aid received was De Minimis aid or about its value, check with the organisation that provided it. If they are unable to say or there is any uncertainty, assume that it was De Minimis aid unless its value exceeded €200,000 in which case it cannot have been.

I declare that the amount of De Minimis aid received by the company/organisation over the last three financial years is:
 (add more rows if required)

DATE	Project	Provider	VALUE
Year 3 (current financial year)			£
			£
Year 2			£
			£
Year 1			£
			£
Total			£

I confirm that, to the best of my knowledge, the information above is correct and given in good faith. I confirm that I understand that failure to meet the SME status would result in this enterprise being ineligible to receive ERDF funds and that failure to declare previous De Minimis aid may result in claw-back of funding.

Section 5 | Contact information

Title (Mr/Mrs/Miss/Ms/Dr etc.):		First Name:		Last Name:												
Email:					Mobile:											
Gender:	Male	<input type="checkbox"/>	Female	<input type="checkbox"/>	Prefer not to say	<input type="checkbox"/>	Other:									
Age Range:	16-24	<input type="checkbox"/>	25-29	<input type="checkbox"/>	30-34	<input type="checkbox"/>	35-39	<input type="checkbox"/>	40-44	<input type="checkbox"/>	45-49	<input type="checkbox"/>	50-54	<input type="checkbox"/>	55-59	<input type="checkbox"/>
	60-64	<input type="checkbox"/>	65+	<input type="checkbox"/>	Prefer not to say	<input type="checkbox"/>										
Ethnicity:	White	<input type="checkbox"/>	Black	<input type="checkbox"/>	Caribbean	<input type="checkbox"/>	Asian British	<input type="checkbox"/>	Multiple ethnic groups	<input type="checkbox"/>						
	Mixed	<input type="checkbox"/>	Asian	<input type="checkbox"/>	Black British	<input type="checkbox"/>	African	<input type="checkbox"/>	Prefer not to say	<input type="checkbox"/>						
	Other ethnic group:															
Disability:	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	Prefer not to say	<input type="checkbox"/>										
Mutual Non-Disclosure Agreement required? If yes, describe the specific area which is required of your business or technology which requires a non-disclosure agreement:										Yes	<input type="checkbox"/>	No	<input type="checkbox"/>			
Would you allow your potential project to be promoted by Marine-i as a case study or news story in the future?										Yes	<input type="checkbox"/>	No	<input type="checkbox"/>			

Section 6 | Declaration

I, **NAME** agree to commit **COMPANY** to participating in the Marine-i project to support my business's marine technology growth via research, development and innovation. I agree to participate in a minimum of 2 days (or 12 hours) active business assistance



Business Eligibility Form



HM Government



European Union
European Regional
Development Fund

that will represent €1,000 in state aid and currently have not received a maximum of €200,000 in state aid from any UK public authority of the European Commission over a 3 year fiscal period. I acknowledge this document accurately summarises discussions between myself and the business engagement professional and that I have been made aware that the details provided may be shared with the Marine-i delivery partners for the purposes of identifying future solutions for my innovation needs. I confirm that the business is not an undertaking in difficulty, as defined in section 3. I understand that should a full application for Marine-i academic support, facility access and/or funding be made by this company, additional information such as detailed accounts will need to be disclosed, and a basic credit check will be carried out, to allow enhanced due diligence to be carried out on the status of the business.

Data Protection

All information provided in this form will be used by Marine-i to assist in the delivery of RD&I support. Where appropriate, the information will also be used as the basis for monitoring and evaluation. Such information will be collected, processed and held securely in accordance with the principles of the Data Protection Act (1998), the General Data Protection Regulation (GDPR) and the Marine-i privacy policy, which can be found online at: <https://www.marine-i.co.uk/assets/file/privacy-policy-1.1.pdf>

Signposting

Where skills development or additional business support maybe required you may also be signposted to other providers for potential additional support. If you do not wish your details to be shared with appropriate other bodies that may help support your business please check this box

Authorised Signatory		Name:	
		Job Title:	
		Date:	

Section 6 Marine-I Project Internal Use Only:

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Project Signature		Name:	
		Marine-I role:	
		Date:	

